



## UNITED STATES PATENT AND TRADEMARK OFFICE

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*LFH*

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/783,731	02/20/2004		2003-2034.ORI

22476  
 HAUGEN LAW FIRM  
 SUITE 1130 - TCF TOWER  
 121 SOUTH EIGHTH STREET  
 MINNEAPOLIS, MN 55402



CONFIRMATION NO. 6601

## FORMALITIES LETTER



\*OC000000012660728\*

Date Mailed: 05/14/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$65** for a Small Entity

- **\$65** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria VA 22313-1450

11/19/2004 BABRAH01 00000005 10783731

01 FC:2051

65.00 OP

*A copy of this notice **MUST** be returned with the reply.*

UG

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.  
2003-2304.ORI

In Re Application Of: Diana Low

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/783,731	02/20/04		022476		

Invention: **APPARATUS AND METHOD FOR TEACHING AND REINFORCING POSITIVE BEHAVIOR**



TO THE COMMISSIONER FOR PATENTS:

Mail Stop Missing Parts

The fee of \$960.00 is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-0789
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 50-0789
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

  
Signature

Mark J. Burns, Reg. No. 46,591  
Haugen Law Firm PLLP  
121 South Eighth Street  
Suite 1130  
Minneapolis, MN 55402

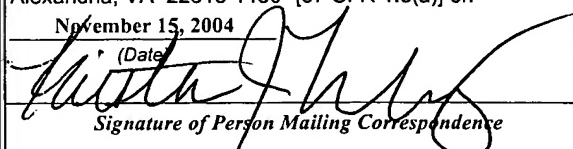
Dated: November 15, 2004

11/19/2004 BABRAHA1 00000005 10783731

03 FC:2254

765.00 OP

CC:

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on	
November 15, 2004	(Date)
	
Signature of Person Mailing Correspondence	
Kirsten J. Norberg	
Typed or Printed Name of Person Mailing Correspondence	

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
**2003-2304.ORI**

In Re Application Of: **Diana Low**

Application No.  
10/783,731

Filing Date  
02/20/04

Examiner

Customer No.  
022476

Group Art Unit

Confirmation No.

**Invention: APPARATUS AND METHOD FOR TEACHING AND REINFORCING POSITIVE BEHAVIOR**

TO THE COMMISSIONER FOR PATENTS:

## Mail Stop Missing Parts

- ☐ Completion of application fees as calculated below:

- ☐
- Utility application filing fee

- ☐
- Design application filing fee

- ☐ Total number of independent claims =

- Total number of claims =

- ☐ Multiple dependent claims

- |   |         |
|---|---------|
| <input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath | \$65.00 |
|---|---------|

- |  |                 |
|--|-----------------|
| <input checked="" type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor | <b>\$130.00</b> |
|--|-----------------|

- ☐ Fee for processing an application filed with a non-English language specification

- ☐
- Fee for processing and retention of application

**Total completion of application fees \$195.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

- ☐ One month      ☐ Two months      ☐ Three months      ☒ Four months      ☐ Five months

from: July 14, 2004  
Date

until: November 15, 2004  
Date

Total time extension fees	\$765.00
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**Total fees due                      \$960.00**